

# 04-19-04 PART B - FEE(S) TRANSMITTAL

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7590

03/10/2004

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/621,202	07/15/2003	Toshio Endo	01776-4021US5	1095

TITLE OF INVENTION: FATTY ACID ESTERS COMPOSITION OF A POLYGLYCERINE, A PROCESS FOR THE PREPARATION THEREOF, A PROCESS FOR THE PREPARATION OF A HIGHLY-PURIFIED FATTY ACID ESTERS COMPOSITION OF A POLYGLYCERINE, A HIGHLY-PURIFIED FATTY ACID ESTERS COMPOSITION OF A POLYGLYCERINE, AN ADDI

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	06/10/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
CARR, DEBORAH D	1621	554-149000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Morgan & Finnegan, LLP

1. \_\_\_\_\_  
2. \_\_\_\_\_  
3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Daicel Chemical Industries, Ltd.

1-Banchi, Teppo-Cho, Sakai-Shi, Osaka-Fu, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☐ Advance Order - # of Copies 6

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- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 13-4500 (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature) [Signature] (Date) 4/15/04  
Reg. No. 54,084

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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01 FC:1501 1330.00 OP  
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03 FC:8001 18.00 OP

TRANSMIT THIS FORM WITH FEE(S)



Docket No. 1776-4021US5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s)** : Toshio Endo

**Serial No.** : 10/621,202

**Filed** : July 15, 2003

**For** : Fatty Acid Esters Composition Of A Polyglycerine, A Process For The Preparation Thereof, A Process For The Preparation Of A Highly-Purified Fatty Acid Esters Composition Of A Polyglycerine, A Highly-Purified Fatty Acid Esters Composition Of A Polyglycerine, An Additive For Food-Stuffs, A Resin Composition, And A Composition For Cosmetics Or Detergents

**Group Art Unit** : 1621

**Examiner** : Deborah D. Carr

**Notice of Allowance:** March 10, 2004

**Confirmation No.:** 1095

EXPRESS MAIL CERTIFICATE

**Express Mail Label No.:** EV 245 496 355US

**Date of Deposit:** April 15, 2004

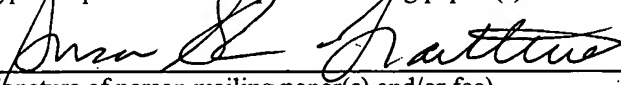
I hereby certify that the following attached paper(s) and/or fee

1. Issue Fee Transmittal;
2. Part B – Fees Transmittal (in duplicate);
3. Check of \$1,648.00;
4. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop: Issue Fee, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313

Susan Shen-Frattura

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(Signature of person mailing paper(s) and/or fee)

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PATENT

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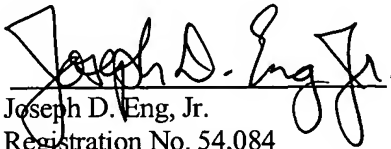
ISSUE FEE TRANSMITTAL

Sir:

Applicant hereby encloses One Thousand Six Hundred Forty Eight Dollars (\$1,648.00) for the following: issue fee due in the above-referenced U.S. patent application (\$1330.00), publication fee (\$300.00) and six (6) soft copies of the patent (\$18.00). Also attached with this Issue Fee Transmittal is Form PTOL-85B in duplicate.

Please charge any additional fees required in connection with this submission to Deposit Account No. 13-4500, Order No. 1776-4021US5.

Respectfully submitted,  
**MORGAN & FINNEGAN, L.L.P.**

  
Joseph D. Eng, Jr.  
Registration No. 54,084

Dated: April 15, 2004

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